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Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number	10/698,099
Filing Date	October 31, 2003
First Named Inventor	Schenk
Group Art Unit	1648
Examiner Name	Horning, M. S.
Total Number of Pages in This Submission	8 Attorney Docket Number
	015270-008930US

ENCLOSURES (check all that apply)

 Fee Transmittal Form (orig. + 1 cc.) Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Assignment Papers
(for an Application) Drawing(s) Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s)
(please identify below):

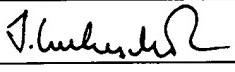
- 1) Return receipt postcard
- 2) Twenty-four (24) references

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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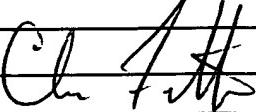
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Joe Liebeschuetz	
Signature		
Date	January 10, 2007	

CERTIFICATE OF MAILING

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Signature		Date
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60971222

FEB 02 2007

Effective on 12/08/2004
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4811)

FEETRANSMI
For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known	
Application Number	10/698,099
Filing Date	October 31, 2003
First Named Inventor	Schenk
Examiner Name	Horning, M. S.
Art Unit	1648
Attorney Docket No.	015270-008930US

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____
 Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
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 under 37 CFR 1.16 and 1.17

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEESFee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

50 25

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

200 100

Multiple dependent claims

360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
-20 or HP =	x	\$	\$0			

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)		
-3 or HP =	x	\$	\$0		

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

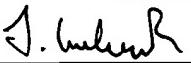
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number)	x	=

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement (after office action) 180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 37,505	Telephone (650) 326-2400
Name (Print/Type)	Joe Liebeschuetz		Date January 30, 2007

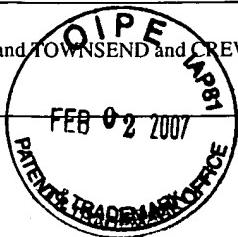
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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On _____

TOWNSEND and TOWNSEND and CREW LLP

By: _____



PATENT
Attorney Docket No.: 015270-008930US
Client Reference No.: 334-US-NEW3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dale B. Schenk et al.

Application No.: 10/698,099

Filed: October 31, 2003

For: PREVENTION AND TREATMENT
OF SYNUCLEINOPATHIC DISEASE

Confirmation No.: 7805

Examiner: Michelle S. Horning

Art Unit: 1648

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER
37 CFR §1.97 and §1.98**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

02/02/2007 AAD0FO1 00000002 201430 10698099
01 FC:1806 180.00 DA

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant

information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Joe Liebeschuetz
Reg. No. 37,505

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60959587 v1



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	3	Attorney Docket Number	015270-008930US
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-7,060,464	06-13-2006	Kim	
	AB	US-6,923,694	08-02-2005	Kim	
	AC	US-6,890,535	05-10-2005	Schenk	
	AD	US-6,866,850	03-15-2005	Schenk	
	AE	US-6,866,849	03-15-2005	Schenk	
	AF	US-6,858,704	02-22-2005	Kim	
	AG	US-6,787,144	09-07-2004	Schenk	
	AH	US-6,787,143	09-07-2004	Schenk	
	AI	US-6,787,140	09-07-2004	Schenk	
	AJ	US-6,787,139	09-07-2004	Schenk	
	AK	US-6,787,138	09-07-2004	Schenk	
	AL	US-6,761,888	07-13-2004	Schenk	
	AM	US-6,743,427	06-01-2004	Schenk	
	AN	US-6,504,080 B1	01-07-2003	Van Der Putten	
	AO	US-2005/0203010 A1	09-15-2005	Kim	
	AP	US-2005/0255113 A1	11-17-2005	Huston et al.	
	AQ	US-2003/0086938 A1	05-08-2003	Jensen et al.	
	AR	US-2002/0094335 A1	07-18-2002	Chalifour et al.	
	AS	US-2002/0187157 A1	12-12-2002	Jensen et al.	
	AT	US-2002/0151464 A1	10-17-2002	Wolozin et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)				
	AU	WO	05/013889	A2	02-17-2005			<input type="checkbox"/>
	AV	WO	04/009625	A2	01-29-2004			<input type="checkbox"/>
	AW	WO	01/053457	A2	07-26-2001			<input type="checkbox"/>
	AX	WO	99/40191	A1	08-12-1999			abst. only <input type="checkbox"/>
	AY	WO	99/27944	A1	06-10-1999			<input type="checkbox"/>

Examiner Signature		Date Considered
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60959587

Substitute for form 1449B/PTO				<i>Complete if Known</i>	
				<i>Application Number</i>	10/698,099
				<i>Filing Date</i>	October 31, 2003
				<i>First Named Inventor</i>	Schenk, Dale B.
				<i>Art Unit</i>	1648
				<i>Examiner Name</i>	Michelle S. Horning
Sheet	2	of	3	<i>Attorney Docket Number</i>	015270-008930US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AZ	DE LUSTIG et al., "Peripheral Markers and Diagnostic Criteria in Alzheimer's Disease: Critical Evaluations," <u>Reviews in Neurosciences</u> , 5:213-225 (1994).	<input type="checkbox"/>
	BA	FARRER, M. J., "Genetics of Parkinson disease: paradigm shifts and future prospects," <u>Nat. Rev. Genet.</u> , 7:306-318 (2006).	<input type="checkbox"/>
	BB	GOLDSBY et al., "Vaccines," Chapter 18 from <u>Immunology, 4th Edition</u> , W.H. Freeman and Company, New York, pages 449-465 (2000).	<input type="checkbox"/>
	BC	HANSEN et al., "Neurobiology of Disorders with Lewy Bodies," chapter 14, pages 173-182 from <u>Functional Neurobiology of Aging</u> , Hof et al., eds., Academic press (2001).	<input type="checkbox"/>
	BD	HSIAO, K. K., "From prion diseases to Alzheimer's disease," <u>J. Neural. Transm. Suppl.</u> , 49:135-144 (1997).	<input type="checkbox"/>
	BE	IRIZARRY et al., "nigral and Cortical Lewy Bodies and Dystrophic Neurites in Parkinson's Disease and Cortical Lewy Body Disease Contain α -synuclein Immunoreactivity," <u>J. Neuropathology and Exp. Neurology</u> , 57(4):334-337 (1998).	<input type="checkbox"/>
	BF	KOTZBAUER et al., "Lewy Body Pathology in Alzheimer's Disease," <u>J. Mol. Neuroscience</u> , 17(2):225-232 (2001).	<input type="checkbox"/>
	BG	KUBY, J., pages 92-97 and 110 from <u>Immunology, Third Edition</u> , W.H. Freeman & Co., New York, (1997).	<input type="checkbox"/>
	BH	LIPPA et al., "Antibodies to α -synuclein Detect Lewy Bodies in Many Down's Syndrome Brains with Alzheimer's Disease," <u>Ann. Neurology</u> , 45:353-357 (1999).	<input type="checkbox"/>
	BI	LUCKING et al., "Review: Alpha-synuclein and parkinson's disease," <u>Cell Mol. Life Sci.</u> , 57:1894-1908 (2000).	<input type="checkbox"/>
	BJ	MA et al., " α -Synuclein aggregation and neurodegenerative diseases," <u>J. Alzheimer's Disease</u> , 5:139-148 (2003).	<input type="checkbox"/>
	BK	MASLIAH et al., "Effects of α -Synuclein Immunization in a Mouse Model of Parkinson's Disease," <u>Neuron</u> , 46:857-868 (2005).	<input type="checkbox"/>

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO				<i>Complete if Known</i>	
				<i>Application Number</i>	10/698,099
				<i>Filing Date</i>	October 31, 2003
				<i>First Named Inventor</i>	Schenk, Dale B.
				<i>Art Unit</i>	1648
				<i>Examiner Name</i>	Michelle S. Horning
Sheet	3	of	3	<i>Attorney Docket Number</i>	015270-008930US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BL	MUNCH et al., "Potential neurotoxic inflammatory responses to A β vaccination in humans," <u>J. Neural. Transm.</u> , 109:1081-1087 (2002).	<input type="checkbox"/>
	BM	PRIMAVERA et al., "Brain Accumulation of Amyloid- β in Non-Alzheimer Neurodegeneration," <u>J. Alzheimer's Disease</u> , 1:183-193 (1999).	<input type="checkbox"/>
	BN	VICKERS, J. C., "A Vaccine Against Alzheimer's Disease, Developments to Date," <u>Drugs Aging</u> , 19(7):487-494 (2002).	<input type="checkbox"/>
	BO	WAKABAYASHI et al., " α -Synuclein immunoreactivity in fibrillary cytoplasmic inclusions in multiple system atrophy," <u>Neuroscience Letters</u> , 249:180-182 (1998).	<input type="checkbox"/>
	BP	WAKABAYASHI et al., "Widespread occurrence of α -synuclein/NACP-immunoreactive neuronal inclusions in juvenile and adult-onset Hallervorden-Spatz disease with Lewy bodies," <u>Neuropathology and Applied Neurobiology</u> , 25:363-368 (1999).	<input type="checkbox"/>
	BQ	WAKABAYASHI et al., "Accumulation of α -synuclein/NACP is a cytopathological feature common to Lewy body disease and multiple system atrophy," <u>Acta Neuropathol.</u> , 96:445-452 (1998).	<input type="checkbox"/>
	BR	Websters New World Dictionary, definition of "prophylactic", 3rd College edition, page 1078 (1988).	<input type="checkbox"/>

Examiner Signature		Date Considered
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